Ports and Waterways Safety Assessment Workshop Report Passamaquoddy Bay, ME

Introduction

Risk identification and mitigation are and have been ongoing activities within the Passamaquoddy Bay area. As a step toward standardizing methodology, a formal Ports and Waterways Safety Assessment (PAWSA) for Passamaquoddy Bay was conducted in Bangor, ME, on 3-4 October 2006. A group of experts examined the waterway using the risk model pictured here.

Vessel Conditions	Traffic Conditions	Navigational Conditions	Waterway Conditions	Immediate Consequences	Subsequent Consequences
Deep Draft Vessel Quality	Volume of Commercial Traffic	Winds	Visibility Impediments	Personnel Injuries	Health and Safety
Shallow Draft Vessel Quality	Volume of Small Craft Traffic	Water Movement	Dimensions	Petroleum Discharge	Environmental
Commerical Fishing Vessel Quality	Traffic Mix	Visibility Restrictions	Bottom Type	Hazardous Materials Release	Aquatic Resources
Small Craft Quality	Congestion	Obstructions	Configuration	Mobility	Economic

The results of that workshop are provided in this report and include the following information:

- Geographical Area
- Numerical results for the factors above from the following activities:
 - Team Expertise
 - Risk Factor Rating Scales
 - Absolute Risk Levels
 - Present Risk Levels
 - Intervention Effectiveness
- Brief description of the process used for the assessment
- List of participants
- Planned Actions: Summary of risks and mitigations discussion
- Survey results presented in tabular form

maintaining the data needed, and c including suggestions for reducing	lection of information is estimated to ompleting and reviewing the collect this burden, to Washington Headqu uld be aware that notwithstanding an DMB control number.	ion of information. Send comments arters Services, Directorate for Info	s regarding this burden estimate ormation Operations and Reports	or any other aspect of the s, 1215 Jefferson Davis	nis collection of information, Highway, Suite 1204, Arlington	
1. REPORT DATE OCT 2006	2. DEDON'T TYPE				3. DATES COVERED 00-00-2006 to 00-00-2006	
4. TITLE AND SUBTITLE	5a. CONTRACT NUMBER					
Ports and Waterwa Passamaquoddy Ba	ays Safety Assessme	nt Workshop Repo	ort for	5b. GRANT NUM	MBER	
rassamaquoudy Da	ay, ME			5c. PROGRAM E	ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NU	JMBER	
				5e. TASK NUMBER		
				5f. WORK UNIT NUMBER		
	ZATION NAME(S) AND AE Academy ,31 Moheg	` '	ondon	8. PERFORMING REPORT NUMB	G ORGANIZATION ER	
9. SPONSORING/MONITO	RING AGENCY NAME(S) A	ND ADDRESS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)		
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAII Approved for publ	LABILITY STATEMENT ic release; distributi	on unlimited				
13. SUPPLEMENTARY NO	OTES					
14. ABSTRACT						
15. SUBJECT TERMS						
16. SECURITY CLASSIFIC	ATION OF:	17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON		
a. REPORT b. ABSTRACT c. THIS PAGE unclassified unclassified unclassified			Same as Report (SAR)	37	ALSI ONSIBLE I EKSON	

Report Documentation Page

Form Approved OMB No. 0704-0188

Geographic Area:

The participants defined the geographic bounds of the waterway area to be discussed.

The transit route from sea to Eastport consists of passage through the Bay of Fundy to Head Harbor Passage and Friar Roads. Vessels calling on Bayside continue past Friar Roads and then traverse Western Passage towards Passamaquoddy Bay.

Numerical Results

Book 1 – Team Expertise

In *Book 1*, the participants were asked to assess their level of expertise compared to the other participants in the workshop for each of the six categories in the Waterway Risk Model. Overall, 41% of the participant teams placed themselves in the upper third, 34% in the middle third, and 25% in the lower third of all teams. This distribution is fairly typical because the participants were chosen for their acknowledged expertise.

Book 2 – Risk Factor Rating Scales

The purpose of *Book 2* is to produce the risk scale numbers that are used in *Book 3*. Participants calibrated intermediate points on the risk assessment scale for each risk factor.

On average, participants from this waterway calculated the intermediate risk points as 2.9 and 5.4, which are close to the national values (2.9 and 5.5) established by the prior PAWSA workshop participants from around the country.

A tabular display of the results of *Book 2* is found at the end of this report.

Book 3 – Absolute Risk Levels with no mitigations

The participants evaluated the absolute risk level in the waterway by selecting a qualitative descriptor for each risk factor that best described conditions in the Passamaquoddy Bay area. Those qualitative descriptors were converted to numerical values using the scales from the *Book* 2 results.

On those scales,

- 1.0 represents low risk (best case) and
- 9.0 represents high risk (worst case), with
- 5.0 being the mid-risk value.

In the Passamaquoddy Bay area, 9 of the 24 risk factors were scored at or above the mid-risk value. They were (in descending order):

Risk Category	Score	Risk Category	Score
Visibility Restrictions	7.9	Configuration	7.7
Environmental	7.5	Aquatic Resources	7.5
Small Craft Quality	6.9	Commercial Fishing Vessel Quality	6.4
Water Movement	6.4	Economic	5.9

Hazardous Materials	5.3	
Release		

Specific hazardous locations identified. :

Only one location was identified as hazardous. It was the southern tip of Deer Island where the turn up north exceeds 45 degrees.

Book 4 – Present Risk Levels after applying existing mitigations

The participants examined all risk factors including those associated with the inclusion of LNG ships calling at proposed to be constructed LNG terminals and the effects of existing mitigations on those risks involving the construction of LNG facilities and movement of LNG ships in waterway. For 1 risk factor, the participants were in consensus that the risk was well balanced by existing mitigations. Consensus is defined as 2/3 of the participant teams being in agreement. For 21 risk factors, the participants were in consensus that new risks were NOT adequately balanced by existing mitigations. For the other 2 risk factors, there was not good consensus on whether existing mitigations adequately reduced risk.

Book 5 – Intervention Effectiveness

The participants selected those interventions that would be most effective in providing the largest risk improvement.

For 5 of the 21 risk factors needing additional risk reduction action, the most selected intervention category had the largest risk improvement.

Risk Category Selected	Intervention Category	Specific Actions
Vessel Conditions	Active Traffic Mgmt	 Provide regulations that rule vessel movement (includes VTS, designated traffic lanes, and radar requirements) Require radar, AIS and VTS Improve radio coverage Have design in place to make the vessel safe Conduct updated WAMS Conduct inspections (U.S. and Canada) Provide better communications (AIS, radio repeaters) Provide designated traffic lanes Provide VTS and AIS
Traffic Conditions	Active Traffic Mgmt	 Specify traffic lanes. Develop non meeting traffic situations. Require designated one way traffic zones. Provide designated holding zones Provide VTS with enhanced radar coverage

		 Enhance radar and communications capabilities Improve/upgrade ATON. Provide NDBC buoy
Waterway Conditions	Active Traffic Mgmt	 One way traffic zones. Establish designated no passing zones. Conduct a WAMS. Stovers Ledge, Clarks Ledge Provide Tugs Provide updated hydrographic survey

For the next 4 of the 21 risk factors needing additional risk reduction action, the most selected intervention category had the largest risk improvement.

Risk Category Selected	Intervention Category	Specific Actions
Immediate Consequences	Coordination/Planning	Coordinate with Canada and locals on plans
Subsequent Consequences	Coordination/Planning (for 3 risk factors)	Coordinate with Canada and local governments on plans, training, and exercises.

Two consensus alerts occurred because there was a strong secondary intervention or there was not a strong majority for the most selected intervention. No consensus was reached, but the intervention categories possibly offering risk improvement are listed below.

- Volume of Small Craft Traffic, mitigated by Rules & procedures or
- Bottom Type, mitigated by Nav/Hydro information.

Assessment Process

The PAWSA process is a structured approach for obtaining expert judgments on the level of waterway risk. The process also addresses the effectiveness of possible intervention actions for reducing risk in the waterway. A select group of waterway users / stakeholders evaluate risk factors and the effectiveness of various intervention actions. Thus the process is a joint effort involving waterway experts and the agencies / entities responsible for implementing selected risk mitigation measures.

The PAWSA methodology employs a generic model of waterway risk that was conceptually developed by a National Dialog Group on National Needs for Vessel Traffic Services and then translated into computer algorithms by Potomac Management Group, Inc. In that model, risk is defined as the product of the probability of a casualty and its consequences. Consequently, the model includes variables associated with both the causes and the effects of waterway casualties.

The first step in the process is for the participants to assess their expertise with respect to the six risk categories in the model. Those self assessments are used to weight inputs during all subsequent steps. The second step is for the participants to provide input for the rating scales used to assess risk. The third step is to discuss and then numerically evaluate the absolute risk levels in the waterway using pre-defined qualitative risk descriptors. In the fourth step, the participants discuss and then evaluate the effectiveness of existing mitigation strategies in

reducing risk. Next, the participants are asked to offer new ideas for further reducing risk, for those factors where risk is not well balanced with existing mitigations. Finally, the effectiveness of various intervention actions in reducing unmitigated risk is evaluated.

The process produces the group's consensus of risks in this waterway and is an excellent tool for focusing risk mitigation efforts. However, risk factors evaluated as being adequately balanced may still be worthy of additional risk mitigation actions. Any reasonable steps for minimizing or preventing the impacts of marine accidents should be encouraged for the benefit of the waterway community.

Participants

The following is the list of waterway users and stakeholders who participated in the process:

Participants	Organization	Phone	Email	
Mr. Harold Bailey	Roosevelt Campobello International Park	506-752-2922	bailey@fdr.net	
Mr. Mihai Balaban	Transport Canada	902-426-3477	balabam@tc.gc.ca	
Mr. Mark Corbishley	BMC – IBET	207-883-0684		
Mr. Sinclair Dewis	Environment Canada		Sinclair.Dewis@ec.gc.ca	
CDR Brian Downey	USCG Sector Northern New England	207-741-5464	Brian.J.Downey@uscg.mil	
Capt. John J. Egan	Downeast LNG – Marine Advisor	860-608-2986	CAPTAINLIB@aol.com	
Mr. George "Bud" Finch	City of Eastport	207-853-2300	eastport_mgr@ptc-me.net	
Mr. Robert S. Gardner	Maine Emergency Management Agency	207-624-4400	robert.s.gardner@maine.gov	
CAPT Stephen Garrity	USCG Sector Northern New England	207-767-0320	Stephen.P.Garrity@uscg.mil	
Capt. Patrick Gates	Atlantic Pilotage Authority	902-426-6389	pgates@atlanticpilotage.com	
Mr. Clifford A. Goudey	Massachusetts Institute of Technology	617-253-7079	cgoudey@mit.edu	
Mr. Michael F. Hinerman	Washington County EMA	207-255-3931 207-263-5990	wnema@ptc-me.net	
Mr. Gavin Insley	Transport Canada, Marine Safety Saint John	506-636-4748	insleyg@tc.gc.ca	
Ms. Kristen Koyama	NOAA / NMFS	978-281-9300 ext. 6531	Kristen.Koyama@noaa.gov	
Mr. Steve Lehmann	NOAA / SSC	617-223-8016	Steve.Lehmann@noaa.gov	
Mr. Tim Leitzell	Athenian Energy Inc.	713-654-0067	tim.leitzell@sbcglobal.net	
Mr. George Lindsay	Environment Canada	506-452-3286	George.Lindsay@ec.gc.ca	
Mr. Stan Lord	F/V Fundy Trails	744-2486		
	•			

Participants	Organization	Phone	Email
Mr. Brendan McAvoy	Maine Maritime Academy – Chief Mate	207-326-2423	bmcavoy@mma.edu
Mr. Al McLarty	Canadian Coast Guard	902-426-9022	mclartya@mar.dfo-mpo.gc.ca
LT Daniel McLean	USCG MSD Belfast	207-338-8395	Daniel.W.McLean@uscg.mil
Mr. Kareem Monib	FERC / OEP / LNGE Branch	202-502-6265	kareem.monib@ferc.gov
Mr. Alan Moore	USCG Sector Northern New England	207-767-0338	Alan.H.Moore2@uscg.mil
Mr. Gerry Moores	F/V Examiner		fvexaminer@earthlink.net
Capt. Gerald Morrison	Eastport Pilots USA		gmorrison5@prexar.com
Mr. Brian Nutter	Maine Port Authority and Maine Pilotage Commission	207-624-3564	Brian.Nutter@maine.gov
Capt. Bob Peacock	Quoddy Pilots USA	207-263-6403	qpilot@maineline.net
Mr. Michael Power	Bayside Port Corporation	902-863-8368	mrpower@eastlink.ca
Mr. Roland Skip Rogers	Federal Marine Terminals	207-853-6096	srogers@fedmar.com
BMCM Kurt Rugenius	OIC, USCGC Moray	207-497-2340	Kurt.A.Rugenius@uscg.mil
Mr. Robert N. Stewart	Moran Towing Corp.		bstewart@morantug.com
Mr. David Turner	Town of Perry, ME, Board of Selectman Chairman	207-853-9404	turnerdd@wwsisp.com
Mr. Thomas W. Varney	Maine DEP	207-941-4573	Thomas.W.Varney@maine.gov
Mr. Laurence V. Wade	Maine Maritime Academy	207-326-2425	wcah@mma.edu lwade@mma.edu
Sgt. John Welcher	RCMP New Brunswick, CA	506-452-3482	John.Welcher@rcmp-grc.gc.ca

Observers	Organization	Phone	Email
Cst. John Beck	RCMP – IBET	506-465-2803 506-467-7889	john.beck@rcmp-grc.gc.ca
Mr. Paul B. "Skip" Cole	Roosevelt Campobello International Park	506-752-2922	skipcole@fdr.net
Ms. Marcia Gartley	District Representative for Congressman Mike Michaud	202-225-4502	Marcia.Gartley@mail.house.gov
Mr. Robert Godfrey	Save Passamaquoddy Bay	207-853-2922	info@savepassamaquoddybay.org
Mr. Robert Jette	Bayside Port Corporation	506-633-3824	mrj@clarkdrummie.ca
Mr. Lars Lund	Retired Master Mariner	506-755-1889	larbetty@nb.sympatico.ca
Mr. Brian Smith	Quoddy Bay LNG	207-853-6631	briansmith@smithcogen.com
Mr. Earle Stanhope Jr.	Stanhopes Trucking	207-454-3341	stanhopestrucking@wwsisp.com
CWO2 Kurt D. Strauch	USCG SNNE	207-244-4234	Kurt.D.Strauch@uscg.mil

Observers	Organization	Phone	Email
Mr. Adam Wilson	Quoddy Bay LNG	207-853-6631 405-625-6185	awilson@smithcogen.com awilson@quoddylng.com
Ms. Carol Woodcock	State Office Representative (U.S. Senator S. Collins)		Carol_Woodcock@collins.senate.
Mr. Rob Wyatt	Downeast LNG	207-214-5926	rwyatt@downeastlng.com

Facilitation Team	Organization	Phone	Email
LT Keith Pierre	USCG COMDT (G-PWN)	202-372-1554	Keith.J.Pierre@uscg.mil
Mr. Ward Fisher	Potomac Management Group	703-836-1037	wfisher@potomacmgmt.com
Mr. Chuck Klingler	Potomac Management Group	703-836-1037	cklingler@potomacmgmt.com
Ms. Stephanie Muska	Potomac Management Group	703-836-1037	smuska@potomacmgmt.com

Planned Actions

The catalog of risks and possible mitigation strategies derived from the Passamaquoddy Bay PAWSA workshop is set forth directly below. This provides an excellent foundation from which the local harbor safety organization can further examine and take appropriate risk mitigation actions for both near-term action and for future risk mitigation planning.

The section has been annotated to include those initial actions that appear appropriate in response to the participants' expressed concerns. Identification of initial actions will help focus subsequent discussions with the local maritime community, waterway users, and stakeholders regarding each risk, permitting the testing of each proposed action for validity and appropriateness prior to implementation. The listing of initial possible actions should be viewed as a starting point for continuing dialogue between the local maritime community, leading to clear identification of risks and well conceived mitigation measures.

Each new idea is listed along with how many times it was suggested by the participant teams in *Book 5*.

Vessel Conditions: Deep Draft Vessel Quality

Today:

- Problems from ten years ago have been corrected.
- Risk is minimum.
- Communications concerns due to limited radio coverage of Fundy Traffic; radar coverage is non existent.
- Bulkers are older than other vessels, but have suffered no casualties, yet.
- Some ships do not maintain their cranes.
- Canadians have not completed a formal aids to navigation study.

Trends:

- Deep draft vessel quality is improving
- LNG cargo and deep draft ships may be coming into the area. . Will require additional fire fighting
- Number of service vessels that support LNG will increase.
- Hazardous materials introduced into area may increase. Currently 1 ship per day calls on a port in the area.

Existing Mitigations:

- International and domestic standards have been improved.
- Crew training standards must be me (STCW).
- Standards on LNG ships is higher than normal.
- Vessels are in compliance with MARPOL requirements. US and Canada conduct port state inspections.
- Systems are in place to monitor risk.
- Fundy Traffic provides shipping information to the mariners..
- Ferry operators are very competent as they navigate through the whirlpool area. All operators speak English.
- US has compulsory pilotage requirement.
- The pilots have their own stand alone navigation system.
- Ship owners are going to redundancy to reduce insurance costs.
- Canada has a vessel clearance system that includes an advanced notice of arrival.

Trends (if LNG ships begin to call):

- Increased service vessels Additional tugs will help.
- Increased fire fighting equipment.
- Additional security issues will be added.
- USCG security zones will be added as necessary.
- ½ mile safety zone around ship transferring LNG cargo – US only.
- Training is improving
- Better communications
- Better radar.
- Radar traffic control
- AIS

New Id	leas		
Idea		Times	Risk Mitigation Category
•	Provide regulations that rule vessel movement (Includes VTS, designated traffic lanes, and radar requirements)	10	Active Traffic Management
•	Require radar, AIS and VTS	7	Active Traffic Management
•	Improve radio coverage	6	Radio Communications
•	Have design in place to make vessels safe	5	Rules and Procedures
•	Conduct updated WAMS	5	Waterway Changes
•	Inspections and Oversight	4	Enforcement
•	Provide appropriate (number, size) assist/support boatstugs, escorts, and service vessels.	4	Other Actions
•	Consider vessel vetting	4	Coordination and Planning
•	W.R.T. international agreements, consider the arrangements with Canada in Puget Sound and along the Detroit River as a possible best practice. Include pilots.	3	Coordination and Planning
•	Add compulsory pilotage	2	Rules and Procedures
•	Required mandatory training	2	Rules and Procedures
•	Possibly restrict cargo	1	Rules and Procedures
•	Provide size restrictions	1	Other Actions
•	Provide Education and Training	1	Voluntary Training
•	Use technology	1	Other Actions
•	Increased Liability	1	Enforcement
•	Provide terminal specific equipment.	1	Other Actions
•	Build vessel to meet the unique environmental.	1	Other Actions
•	Provide safety management system.	1	Other Actions
•	Provide Infrastructure Improvements	1	Other Actions

Vessel Conditions: Shallow Draft Vessel Quality

Today:

• Tow/tug boats crews are not licensed (less authority and oversight); also not inspected

Trends:

- Regulations are coming.
- STCW and Responsible Carrier Program have improved the tug/barge fleet
- Tourism and number of boats will increase.
- More responder vessels.
- Increased construction.
- Need greater education to deal with additional LNG ships.
- Need to coordinate with ferries.

Existing Mitigations:

- Tugs and tows are STCW qualified.
- Additional regulations are coming to inspect tow/tug boats.
- More navigational aids to navigation.

Trends (if LNG ships begin to call):

More responder vessels.

New	Ideas
-----	--------------

Idea	Times	Risk Mitigation Categories
Conduct vessel inspections (US and Canada)	9	Enforcement
Provide Better Communication (AIS, radio repeaters)	7	Radio Communications
Provide designated traffic lane	4	Active Traffic Management
Provide VTS and AIS	3	Other Actions
Require additional crew size.	3	Rules and Procedures
Provide better instrumentation	2	Other Actions
Examine multipurpose uses of the support craft.	2	Coordination and Planning
Education and Training	2	Voluntary Training
Require mandatory training	1	Rules and Procedures
Provide Safety Zone	1	Active Traffic Management
Develop Contingency Plans	1	Rules and Procedures
Develop updated WAMS	1	Nav/Hydro Info
Provide Infrastructure Improvements	1	Other Actions
Provide Public Service Announcements	1	Other Actions
Crossing vessels to set and follow schedules	1	Other Actions
Mandatory Training	1	Enforcement

Vessel Conditions: Commercial Fishing Vessel Quality

Today:

- Professionalism of operators is moderate to poor.
- Vessel material condition moderate to poor.
- Seem to average two groundings per year.
- There have been some recent deaths on fishing boats
- Inland fisheries are fished by vessels that are marginally maintained..

Trends:

- Varied fisheries that require configuration changes may result in stability problems.
- More violations of rules of the road.
- Need greater education to deal with additional LNG ships.

Existing Mitigations:

- F/V traffic is minimal. The boats only go out good weather. Go out for day trips.
- Canadian fishing boats are well maintained.
- The increased market value of product is allowing for boat improvement.
- CG inspections have resulted in improved maintenance and quality of boats.

Trends:

• USCG and Canada are considering licensing requirements.

	Idea	Times	Risk Mitigation Categories
•	Consider citizenship requirements	1	Not Defined
•	Provide for more enforcement of regulations, conduct more at sea boarding.	1	Not Defined
•	Require mandatory or standardized equipment	1	Not Defined
•	Provide more state enforcement presence	1	Not Defined
•	Provide for a grant for vessel modification. Consider an excise tax break.	1	Not Defined
•	Require stability criteria	1	Not Defined
•	Provide better safety procedures within recreational community	1	Not Defined
•	Provide tariffs on imports.	1	Not Defined

Vessel Conditions: Small Craft Quality

Today:

- Number of small craft increasing. Have recorded the highest number this year. Risk is still considered minimal.
- Increased from 3 marine event permits to 12; range from kayak races to regattas.
- USCG boardings went from 50 to 170 in three years.

Trends:

- Number of kayaks increasing (maybe involving operation with inebriation)
- STCW and Responsible Carrier Program have improved the tug/barge fleet

Existing Mitigations:

- Very few jet skis.
- Guides take out first time kayakers.
- Coast Guard conducts boating education classes.
- Locals know the area and what to expect and how to dress.

Idea	Times	Risk Mitigation Categories
 Conduct boardings/inspections (Canada and US) 	9	Enforcement
 Provide information at the boat rampssignage. Include public service announcements 	6	Rules and Procedures
 Provide information at the boat rampssignage. Include public service announcements 	6	Rules and Procedures
 Provide Training 	5	Voluntary Training
 Provide for more dockside inspection 	4	Rules and Procedures
 Provide weather information at the boat ramps. Include public service announcements 	2	Other Actions
Require mandatory training	2	Rules and Procedures
 Licensing 	2	Voluntary Training
 Rules of the Road enforced with fines 	1	Enforcement
Better communications with international agencies.	1	Radio Communications
Provide Canadian assets	4	
 Need greater education to deal with additional LNG ships 	1	Other Actions
 Provide small vessel auxiliary channel 	1	Waterway Changes
 LNG Safety Zone Escort 	1	Waterway Changes
Conduct WAMS	1	Waterway Changes

Traffic Conditions: Volume of Commercial Traffic

Today:

- Volume is light
- Volume has decreased in the last 30 years.
- Sometimes have 4-5 ships queuing up.

Trends:

- If LNG is approved, will increase from 3.5 days to 1.3 moves every day. LNG ships can only travel on the slack tide.
- Increased tourism.
- Two new dock areas will be added; to be built well into the waterway. May restrict the movement of small vessels.

Existing Mitigations:

• Light Volume

Trends (if LNG ships begin to call):

- Ships may queue up, waiting to come in. This will increase during the winter.
- Additional tug boats will escort the LNG vessel.
- More education will be provided.

New Ideas:

	Idea	Times	Risk Mitigation Categories
•	Specify traffic lanes. Develop non meeting traffic situations. Require designated one way traffic zones. Provide designated holding zones	12	Active Traffic Management
•	Provide VTS with enhanced radar coverage	8	Active Traffic Management
•	Enhance radar and communications capabilities.	7	Radio Communications
•	Improve/upgrade ATON. Provide NDBC buoy	7	Nav/Hydro
•	Provide better scheduling	5	Active Traffic Management
•	Provide tug assistance. Require pilots.	3	Rules and Procedures
•	Provide more and better training for the pilots	1	Rules and Procedures
•	More US/Canadian presence	1	Enforcement

Traffic Conditions: Volume of Small Craft Traffic

Today:

• Three-month seasonal activity, only in the summertime.

Trends:

• Rapidly growing numbers, especially trailered boats.

Existing Mitigations:

- Activity occurs in good weather and seasonality.
- Education is available

Idea	Times	Risk Mitigation Categories
 Establish small vessel traffic lanes, safety and security zones. 	5	Rules and Procedures
 Enhance radar and communications capabilities. 	5	Radio Communications
Monitor N to M information.		
 Enhance VTS, provide traffic lanes 	4	Active Traffic Management
 Provide training, workshops, education. Provide 	3	Voluntary Training
Public Service Announcement		
 Mandatory Education/training 	3	Rules and Procedures
Require Licensing	2	Rules and Procedures
 Enhanced state, Canadian and USCG presence 	2	Enforcement
 Provide Weather, current (NDBC) buoy 	1	Nav/Hydro
Provide mandatory AIS	1	Active Traffic Management

Traffic Conditions: Traffic Mix

Today:

- Waterway is multiple use.
- Traffic mixes and mingles.
- Aquaculture farms exist.

Trends:

- Aquaculture is currently flat but will return to the bay; includes sea urchins, mussels.
- Additional escort vessels and service vessels will arrive.
- LNG ships will be going in or out of Grand Manan.

Existing Mitigations:

Well-marked channels show boaters the channels where ships must transit.

Trends (if LNG ships begin to call):

- Better trained and quality vessels as the new escort vessels are added.
- Safety zones may be used to address present meeting situations.
- Compulsory pilotage will be required in US and Canadian waters. (two pilots will be onboard)
- Number of pilots will increase.

	Idea	Times	Risk Mitigation Categories
•	Establish traffic patterns (maybe formally establish a one way traffic scheme); also safety zones	10	Active Traffic Management
•	Provide routing and scheduling.	6	Coordination and Planning
•	Enhance VTS, AIS	4	Active Traffic Management
•	Provide better communications between boats, association, and community. Provide public service announcements	4	Radio Communications
•	Conduct a WAMS, update Coast Pilot, consider NDBC buoy	4	Nav/Hydro
•	Under education, provide a symposium/seminar.	4	Voluntary Training
•	Provide an auxiliary traffic lane, VTS, day/night rules	3	Rules and Procedures
•	Provide enforcement presence (USCG and Canada) and training.	2	Enforcement
•	Require VHF monitoring of security channels	2	Radio Communications
•	Provide recommended routes around fixed fishing gear	1	Not Developed
•	Complete an updated WAMS.	1	Not Developed
•	Keep Fundy Traffic system; maybe add a radar atop the CG Eastport station for more coverage; also add communication repeaters.	1	Not Developed
•	Tie together agreed risk mitigations with the construction of the LNG facility	1	Not Developed

Traffic Conditions: Congestion

Today:

• There is a ferry system to Deer Island and also kayaks that sometimes compete with the deep draft for waterway use..

Trends:

- Number of head boats (whale boats) is pretty steady.
- Number of recreation boats increasing.
- Small cruise liners may be entering the waterway.
- LNG vessels will queue up.

Existing Mitigations:

• There is minimal risk.

Trends (if LNG ships begin to call)::

- VTS may come.
- AIS will be improved
- Increase number of pilots.
- Greater USCG presence.

Idea	Times	Risk Mitigation Categories
 Consider recommended routing for deep draft vessels; also safety and security zones. Formalize anchorage policies. 	16	Active Traffic Management
 Require AIS on large vessels. Enhance VTS and radar 	8	Active Traffic Management
 Mandatory monitoring of security channels. Enhanced communications 	6	Radio Communications
 Provide traffic scheduling 	4	Coordination and Planning
 Conduct a WAMS, update Coast Pilot, consider NDBC 	4	Nav/Hydro
 Provide navigation instruments, equipment and publications 	2	Other Actions
Limit Hazardous cargoes	1	Rules and Procedures
 Update Coast Guard – add information on types of vessel transiting. 	1	Not Developed
 Upgrade USCG resources 	1	Not Developed

Navigational Conditions: Winds

Today:

- Most high winds occur in the winter.
- Sustained winds oppose tides from Bay of Fundy and cause high, rough seas – problem for the pilot boat, not for large ships.
- There is no prevailing/predictable wind in the winter.
- University of Maine removes one weather buoy. It gives real time data from internet. It was claimed to be redundant. Pilots agree there is no accurate reading on the beach and the buoy is important..
- The buoy also has temp, sea state, and current sensors that are useful for fish farming (senses when water temp is too low, keeps fish from freezing).
- Islands act as a wind block, sometimes giving erroneous readings at the wind sensors.
- Funnel affect at Western Passage. Causes wind to change direction at various locations around the island.
- Every 20 years, a storm comes up that brings strong winds; funnels through the harbor and damages the front end part of Eastport (from the storm surge).
- 30 kts is the maximum limit wind speed for thruster use on deep draft vessel.
- Maneuvering vessel depends on the direction of wind and alignment of the dock.

Trends:

• Developing vessel operational parameters.

Existing Mitigations:

- Weather avoidance practices are in place.
- Winds are seasonal and occur mostly in winter, when recreation boaters are not present.
- Buoy from University of Maine effectively monitors wind right now.
- Wind trends are historically reported.
- Buoys off Jonesport are important to provide real time weather info.
- Matinicus, Mt. Desert Rock, and Jonesport buoys are used by pilots..
- Tugs stabilize the vessel and provide a large berthing window in terms of weather and design of the pier.
- NOAA provides weather radio station.

Trends (if LNG ships begin to call):

- Establish vessel operational parameters.
- Simulations have been completed that exercise pilots at the dock and in the waterway.
- Will provide weather information at the down east meteorological tower.
- LNG ship berthing parameters will be established.
- LNG ships will have mooring tension, monitoring systems.

Idea	Times	Risk Mitigation Categories
 Provide PORTS, also GoMOOS, down east Meteorological tower 	10	Nav/Hydro Info
 Consider z Drive Tugs 	7	Other Actions
 Require berthing parameters 	4	Rules and Procedures
 Provide Weather Buoys 	3	Nav/Hydro Info
 Provide better weather forecasts 	2	Coordination and Planning
 Provide Warning Signs for small craft 	1	Other Actions
 Require freeboard limitations 	1	Rules and Procedures
 Establish operating parameters 	1	Rules and Procedures
• Provide better communications for forecasting	1	Radio Communications

Navigational Conditions: Water Movement

Today:

- 13 Knots current at Blueback Narrows.
- Tide and current tables are good predictors except when there are high-wind conditions that alter water levels. Study shows that predictions of slack water are less reliable.
- Small vessels are unfamiliar with currents.

Trends:

None discussed.

Existing Mitigations:

- Tide and current prediction tables and software.
- Voyage planning to avoid strong currents.
- Pilot boat precedes ship and gives traffic report.
 Will also act as a safety observer if radar is lost.
- Will be a current meter at down east.
- Specific transit timing based on slack water.

	Idea	Times	Risk Mitigation Categories
•	Transit at slack water	9	Coordination and planning
•	Provide PORTS systems	8	NAV/Hydro
•	Provide tugs	6	Other Actions
•	Provide Weather Buoys	2	Nav/Hydro Info
•	Require berthing parameters	2	Rules and Procedures
•	Consider transit tide restrictions	1	Rules and Procedures
•	Establish Operational Parameters	1	Rules and Procedures
•	Follow/enforce required rules of the road	1	Active Traffic Management

Navigational Conditions: Visibility Restrictions

Today:

- Occur June and July...for 30 days...24 hours a day. Sometimes no fog...no predictability.
- Work with sea smog in winter.
- Occasionally snow can cause vessels to be weather-bound for days. Harder to navigate in a snow storm.
- Fog is generally localized in the bay.
- No fog at Bayside.

Trends:

- Less fog over the past 20 years.
- Do not want to respond to a casualty in the fog.
- Can not see an LNG plume in fog.

Existing Mitigations:

- Radar (increasing number of boaters have it but may not be able to use it well).
- GPS gives precise position.
- Automatic fog signals on electronic equipment.
- Commercial vessels
 - Are using chart plotting software programs (ECIDS), but may over-rely upon it.
 - Radar interpretation instruction / license endorsement.
- NOAA electronic navigation charts are free (S57 standard vector charts). See http://chartmaker.ncd.noaa.gov.

Trends:

- Tug/barge will soon be required to carry AIS.
- May reduce movement due to reduced visibility.
- Establish vessel operating procedures.

	Idea	Times	Risk Mitigation Categories
•	Improve Fundy Radar.	9	Active Traffic Management
•	Enhance radio communication	7	Radio Communications
•	Conduct a WAMS. Provide RACONS. Provide better ATON. Provide WAMS. It briefly addressed LNG additions	7	Waterway Changes
•	Regulate vessel movements	6	Rules and Procedures
•	Limit movement by visibility standards	4	Rules and Procedures
•	Provide Weather Buoys	2	Nav/Hydro Info
•	Provide PORTS	2	Nav/Hydro Info
•	AIS	2	Nav/Hydro
•	Establish operational parameters	2	Rules and Procedures
•	Monitor VHF Security calls	1	Radio Communications

Navigational Conditions: Obstructions

Today:

- 28 foot tide range.
- Ice seldom obstructs navigation. One-intwenty year cycle.
- Once a month (about)...debris from high tide...can include telephone poles.
- Fish pens...off all the islands.
- Consider right whales...not necessarily in the area...North and East of Campabello Island...not in the interior...in the summer. Can also see them on the eastern bay, off Eastport.

Trends:

- New piers are being built.
- LNG ships may be at anchor.

Existing Mitigations:

- Deep draft will slow and stop in the presence of whale.
- Pilot boat will listen for whales in the fog..
- LNG ships are not allowed to anchor in Canadian waters.

	Idea	Times	Risk Mitigation Categories
•	Conduct a WAMS. Sovers Ledge	15	Nav/Hydro Info
•	NOAA Hydrographical survey	7	Nav/Hyrdo Info
•	Limit pier length	1	Coordination and Planning
•	Provide enhanced VTS	1	Active Traffic Management

Waterway Conditions: Visibility Impediments

Today:

- Waterway blocked by island when leaving head harbor passage off Cherry Island...making the corner.
- Back scatter from lights on the reservation.

Trends:

- Increased LNG traffic.
- New LNG ships will moor at new piers.
- Small boats must go around piers.

Existing Mitigations:

- .Cherry Island Light increased in intensity to take care of backscatter from Eastport.
- Use Fundy Traffic to place vessels in the waterway.
- Use AIS to place vessels in waterway.

Trends:

- For LNG ships, can see over the trees.
- Channel is wide enough to provide sufficient room. Simulated casualties outboard of the moored vessels with positive results.
- Moored ships will block out shore lights.
- Small boat education.
- Provide ATON around the piers.

New Ideas:

Idea

• Conduct a WAMS. Sovers Ledge

•

Times Risk Mitigation Categories

15 Nav/Hydro Info

Waterway Conditions: Dimensions

Today:

• Head Harbor Passage is narrow (1500 ft. wide between Dog Island and Indian Point)

Trends:

No trends discussed.

Existing Mitigations:

- Will pass two small vessels.
- Policy is to not move deep draft ships opposing each other through the geographic area. It is all one way.

New Ideas:

	Idea	Times	Risk Mitigation Categories
•	One way traffic zones. Establish designated no passing zones.	10	Active traffic management
•	Conduct a WAMS. Stovers Ledge	7	Nav/Hydro Info
•	Provide Tugs	5	Other Actions
•	Speed Restrictions	4	Other Actions
•	Establish operational parameters	3	Rules and Procedures
•	Provide recommended routes and VTS	3	Active Traffic Management
•	Limit vessel length	1	Rules and Procedures
•	Provide aid such as GoMOOS and PORTS	1	NAV/Hydro

Waterway Conditions: Bottom Type

Today:

- Bottom is hard...rock.
- F/V grounds periodically.
- S/V ground periodically.

Trends:

• No trends discussed.

Existing Mitigations:

- Shoaling well marked with buoys.
- Charting, Coast Pilot, and hydrologic publications.

New Ideas:

	Idea	Times	Risk Mitigation Categories
•	Provide updated hydrographic survey.	13	Nav/Hydro
•	Conduct a WAMS. Stovers Ledge	2	Nav/Hydro Info
•	Reduce Speed	2	Rules and Procedures
•	Set operational parameters. Movement based on tide	2	Rules and Procedures

Waterway Conditions: Configuration

Today:

- One turn exceeds 45 degrees.
- Seasonal risk of crossing traffic...during the summer.

Trends:

•

Existing Mitigations:

- None required
- Vessel operators talk to one another on the radio..

• New Ideas. Risks and mitigations were balanced. There were no ideas captured...

Immediate Consequences: Personal Injuries

Today:

- In the past, have had small cruise ships.
- Ferries are small vessels....45
 people...passenger vessel to Campabello, car
 ferry and another one to Campobello.
- 500 meter zone established by Sandia is tank ship is breeched.
- Lacking in spill response personnel and equipment.

Trends:

- 110-120 PAX vessel. Possibly increasing to 300-400 PAX vessels.
- LNG vessels moving throughout the waterway.
- Must establish an international joint response to an LNG casualty.
- USCG responders are inside the destruction zone.
- Minimal response capability for both U.S. and Canada. The mill, 25 miles away, is the only available hazmat response.
- Roadways may be obstructed by tree strewn roads. Route 1 is primary road for assistance to travel.
- Need additional training for response to LNG release/explosion.

Existing Mitigations:

- No cruise ships.
- Joint Marine Contingency Plan...has an exception for LNG

Trends:

- New crews and shape.
- LNG emergency response people will hold meeting with local responders.
- Regulation process will identify safety assets that must be supplied for the emergency plan before the process can move forward.

New Ideas:

Idea	Times	Risk Mitigation Categories
 Update and develop training plans. Include international 	11	Voluntary Training
 Provide emergency radio channels and an additional radio tower. Provide enhanced communications to all agencies and bi national 	10	Radio Communications
 Develop/update contingency plans, include international. Provide egress routes 	10	Rules and Procedures
• Enhance cell phone coverage	6	Other Actions
 Provide emergency medical personnel and medical clinics and life flight 	4	Coordination and Planning
 Provide warning system and emergency broadcast 	3	Other Actions
 Conduct interagency/international training/simulations/exercises 	2	Voluntary Training
• Limit hazardous cargos	1	Rules and Procedures
• Conduct safety audit inspection	1	Enforcement

Immediate Consequences: Petroleum Discharge

Today:

- 200 annual ship transits by deep draft vessels...2,000 tons (500,000 gallons) of bunkers per ship. Two vessels are present in the waterway at any one time.
- Petroleum products
 - Eastport and Bayside does not handle petroleum cargo.

Trends:

• Will need plan to fuel all the support vessels.

Existing Mitigations:

- Joint bi-national agreement between US and Canada for oil spill response.
- Canadian spill response equipment in St. Johns;
 USCG response equipment in Portland, ME.
- Six hours to respond.

New	Ideas :

	Idea	Times	Risk Mitigation Categories
•	Provide more response/preposition teams and equipment; include OSROs	10	Coordination and Planning
•	Provide more response training	6	Voluntary Training
•	Provide better cell coverage and a tower.	6	Radio Communications
•	Designate response vessels as multi purpose escorts and spill response vessels	4	Rules and Procedures
•	Re-establish Quoddy Oil Spill Coop.	4	Coordination and Planning
•	Provide speed limits	1	Rules and Procedures
•	Improve bi-lateral agreements	1	Coordination and Planning
•	Provide tug escort	1	Other Actions
•	Consider ship/cargo owner liability	1	Other Actions

Immediate Consequences: Hazardous Materials Release

Today:

• Bulk ammonium nitrate is shipped to Bayside and Eastport. One ship a year....2,000 tons.

Trends:

• Increasing due to LNG.

Existing Mitigations:

• .None in place.

-			
	AXX		0000
1.7	CW	10	icas.

	Idea	Times	Risk Mitigation Categories
•	Conduct training/drills/exercises (consider CANUSLANT)	10	Coordination and Planning
•	Conduct facility inspections. Provide additional USCG resources	5	Enforcement
•	Provide better education/outreach, certifications	4	Voluntary Training
•	Improve radio communications	3	Radio Communications
•	Develop evacuation contingency planning	2	Coordination and Planning
•	Conduct LNG carrier oversight	2	Enforcement
•	Designate response vessels as multi purpose escorts and spill response vessels; also tug escorts	2	Other Actions
•	Improve first responder trainingfire fighting, hazmat, pers casualties.	1	Other Actions
•	Establish speed limits	1	Rules and Regulations
•	Develop response management	1	Coordination and Planning
•	Boom docked vessels	1	Other Actions
•	Improve cell coverage	1	Other Actions

Immediate Consequences: Mobility

Today:

- Route one running along the shore can be shut down.
- Dear Island and Campobello can not receive some goods if the waterway is shut down (seasonal)

Trends:

• LNG will be moving and gas will be piped regionally/nationally..

Existing Mitigations:

• Small vessels have an alternate channel...size to tug at high water..

Trends:

• Other ports will supply the pipeline.

	Idea	Times	Risk Mitigation Categories
•	Improve alternate means of transportation local highway to move response equipment.	6	Other Actions
•	Explore salvage capabilities	4	Other Actions
•	Provide enhanced communications.	1	Radio Communications
•	Conduct air patrols	1	Enforcement
•	Install and use mooring system arrangements for local tide range	1	Coordination and Planning
•	Provide tug escort	1	Other Actions

Subsequent Consequences: Health and Safety

Today:

- Relative to Passamaquoddy Bay, everything is still a rural area population is 1500-3500 persons.
- Eastport has up to 2000 population
- Consider WSA...1000 people per quarter mile
- A discharge may affect fish processors.
- Ammonium Nitrate posses an explosion problem.
- No formal evacuation plans.
- Lack of firefighting capability.

Trends:

• LNG ships will be entering the area.

Existing Mitigations:

- Prevailing wind would disperse a gas.
- Calas is nearest hospital...20 miles away...bed capacity of 25. Eastern Maine Medical Center...move by helicopters.
- Area is rural with few people in a large area.
- Planning with nuclear plan has been completed with both countries.
- Multiple fire fighting organizations have worked together to combat shore side fires (forest fires).

Trends:

• Have identified the disaster area.

Idea	Times	Risk Mitigation Categories
 Develop/update contingency plans, include international. Provide egress routes 	9	Rules and Procedures
 Provide warning system and emergency broadcast 	7	Other Actions
 Update and develop training plans. Include international. Include marine firefighting. Provide PSAs 	5	Voluntary Training
 Pre position equipment 	4	Coordination and Planning
 Provide enhanced firefighting capability; land and water 	4	Other Actions
 Formalize US Canadian agreements 	3	Coordination and Planning
 Provide emergency medical personnel and medical clinics and life flight 	2	Coordination and Planning
• Enhance cell phone coverage	1	Other Actions
 Limit hazardous cargos 	1	Rules and Procedures
 Conduct interagency/international training/simulations/exercises 	1	Voluntary Training
 Conduct predictive modeling 	1	Coordination and Planning
Develop web page	1	Other

Environmental

Today:

- 250 feet around the island of Eastport....development setback.
- Cobcook Bay is a sensitive area.
- Endangered species is high.
- Cultural Resources for tribal based, archeological based in area.
- Some ships change from bunkers to diesel (no. 2) when entering port. No. 2 oil will taint fish where black oil bunkers will not. Some ship use no. 2.

Trends:

- LNG ships will enter the waterway, resulting in more traffic. More emissions will result...air, noise, invasive species.
- Pure methane is not an issue (non fire form) to the environment. If on fire, will drop down to a couple of inches below the water
- Vessel strike risk to the right whale.
- Current LNG ships use boil off from cargo tanks. Some use IFO fuel. IFO taints fish.
- New ships...bunker tanks are double hulled...may not be used here.

•

Existing Mitigations:

- Ballast water program required.
- Right whale monitoring...voluntary.
- Fundy traffic notifies ships of whale sighting.

Trends:

- LNG ships do not deballast.
- New ships use diesel fuel, heavy fuel oil...2000 tons.

New Ideas: Idea **Times Risk Mitigation Categories** Conduct training/drills/exercises (consider 7 Coordination and Planning CANUSLANT) and develop priorities Better real time for Nav/hydro information, PORTS 7 Nav/Hydro Info Provide response/equipment training 4 **Voluntary Training** Conduct predictive modeling 3 Other Actions Develop evacuation contingency planning and spill 2 Coordination and Planning response Develop routing for environmentally sensitive areas 2 Active Traffic Management Develop and formalize an environment/whale 2 Other Actions information system Provide prepositioned resources. 2 Coordination and Planning Contract with NRC Other Action 1 Provide better data on bunkers Rules and Procedures Develop response management, OSROs Coordination and Planning Provide better education/outreach, certifications Voluntary Training Improve radio communications 1 Radio Communications Develop Web Page 1 Other Actions

Subsequent Consequences: Aquatic Resources

Today:

- Many species are harvested throughout the geographic area:
- Fish farming (Agricultural more impacted/conducted than commercial and recreational fishing)...clammers operate in the area. Sea cucumbers, herring.
- Recreational fishing...extensive in the summer.
- Includes life cycle...lobster, scallops, herring. Will probably use that year of fishing in the event of a spill.
- Ecotourism is increasing.
- Extensive fisheries throughout of the Bay:
 - Commercial shell fishing, lobster, quahog, scallops; and fin fish...a yearround activity.
 - Recreational fishing is very active.

Trends:

- Fishing and Ecotourism is increasing.
- LNG ships increase will restrict the water and reduce the number of spaces to fish.

Existing Mitigations:

- Existing authorities to close shellfish beds.
- NOAA has the only sensory analysis lab available to test and chemically analyze fitness of fish product.
 - State and local capabilities.
- Seasonality of a pollution event can protect the resource to some degree.
- Whale alerts are provided by Fundy Traffic.

Idea	Times	Risk Mitigation Categories
 Establish fisheries opening and closing protocols. Include Canada 	6	Rules and Regulations
 Improve communications, call down list 	6	Radio Communications
 Develop and formalize an environment/whale information system 	2	Other Actions
 Develop Web Page 	2	Other Actions
Develop response management, Aquacultures	1	Coordination and Planning
• Provide better education/outreach, certifications	1	Voluntary Training

Subsequent Consequences: Economic

Today:

- Fish contaminated with hazardous material cannot be sold.
- For definition purposes, in the Aqua fisheries, impact is great and felt internationally. More than \$2M per year. Would do a fisheries closure for potential area of impact.
- Severe economic effects would be felt in within two weeks of a port closure.
- Salmon is sold along the east coast.
- Lobster is sold along the east coast and internationally.
- Sea urchins are sold internationally.
- Income from tourism and fishing would be affected, even if there is just an impression that the area has been "tainted" by spills, etc.
- Repayment for loss of income from oil spill.
- May not be able to get product to market...may affect the region and nation.
- A major casualty would affect tourism...St. Andrews is a major resort community. This includes whale watching tours.
- 350K tons a year paper mill would not be able to ship.
- Bayside...depends on how long...lose one ship a week.
- Letit Passage at 1500 feet wide could affect the small boat traffic.

Trends:

- LNG industry will increase jobs, support vessels.
- People may stop coming to tourist area in Canada (St. Andrews)...a less environmentally pristine area...also at Campobello Island.
- Additional traffic may push the whales elsewhere.
- Consider tax issues.
- Most issues will be local and regional.

Existing Mitigations:

- Energy Policy Act (US only):
 - o Provide emergency response plan
 - Provide cost sharing plan to provide additional resources. Between company and local community.
- Possible new international agreements in the making.

Trends:

- People like to look at big ships.
- May add \$250M to a taxable base
- Young people may remain in the area.
- Will provide site specific compensation package to local fishermen.
- Will provide trap replacement program...US Canadian.

New Ideas:		
Idea	Times	Risk Mitigation Categories
Marine System Recovery	10	Coordination and Planning
 MOU joint Canada US response 	7	Other Actions
• Fishermen compensation plan	5	Other Actions
Trap replacement program	2	Other Actions
 LNG via offshore terminal 	1	Coordination and Planning
• Develop web page.	1	Other Actions

Book 2 Tabular Results:

Risk Factor	A Value	B Value	C Value	D Value
Deep Draft Vessel Quality	1.0	3.0	5.6	9.0
Shallow Draft Vessel Quality	1.0	3.0	5.6	9.0
Commercial Fishing Vessel Quality	1.0	3.0	5.6	9.0
Small Craft Quality	1.0	3.0	5.6	9.0
Volume of Commercial Traffic	1.0	3.0	5.3	9.0
Volume of Small Craft Traffic	1.0	2.8	5.7	9.0
Traffic Mix	1.0	2.3	4.7	9.0
Congestion	1.0	2.7	5.0	9.0
Winds	1.0	2.5	5.2	9.0
Water Movement	1.0	2.9	5.0	9.0
Visibility Restrictions	1.0	2.9	5.7	9.0
Obstructions	1.0	2.0	4.5	9.0
Visibility Impediments	1.0	3.1	5.5	9.0
Dimensions	1.0	3.1	5.5	9.0
Bottom Type	1.0	2.4	5.1	9.0
Configuration	1.0	2.8	5.3	9.0
Personnel Injuries	1.0	3.1	5.7	9.0
Petroleum Discharge	1.0	3.8	6.2	9.0
Hazardous Materials Release	1.0	3.7	6.2	9.0
Mobility	1.0	3.0	5.3	9.0
Health and Safety	1.0	3.1	5.6	9.0
Environmental	1.0	3.2	5.9	9.0
Aquatic Resources	1.0	2.8	5.5	9.0
Economic	1.0	3.1	5.7	9.0

Book 3 Tabular Results:

Vessel Conditions	Traffic Conditions	Navigational Conditions			Subsequent Consequences	
Deep Draft Vessel Quality	Volume of Commercial Traffic	Winds Visibility Impediments		Personnel Injuries	Health and Safety	
1.3	1.3	4.5 3.9		3.0	2.5	
Shallow Draft Vessel Quality	Volume of Small Craft Traffic	Water Movement	Dimensions	Petroleum Discharge	Environmental	
1.7	1.6	6.4	3.3	2.1	7.5	
Commercial Fishing Vessel Quality	Traffic Mix	Visibility Restrictions	Bottom Type	Hazardous Materials Release	Aquatic Resources	
6.4	3.1	7.9	7.0	5.3	7.5	
Small Craft Quality	Congestion	Obstructions	Configuration	Mobility	Economic	
6.9	2.1	3.5	7.7	3.2	5.9	

Book 4 Tabular Results:

Vessel Conditions	Traffic Conditions	Navigational Conditions			Subsequent Consequences	
Deep Draft Vessel Quality	Volume of Commercial Traffic	Winds	Visibility Impediments	Personnel Injuries	Health and Safety	
1.3 2.4	1.3 4.6	4.5 6.0	3.9 3.9	3.0 6.5	2.5 6.2	
RISING	RISING	RISING	Balanced	RISING	RISING	
Shallow Draft Vessel Quality	Volume of Small Craft Traffic	Water Movement	Dimensions	Petroleum Discharge	Environmental	
1.7 2.9	1.6 4.1	6.4 7.1	3.3 3.4	2.1 4.2	7.5 8.1	
RISING	RISING	RISING	RISING	RISING	RISING	
Commercial Fishing Vessel Quality	Traffic Mix	Visibility Restrictions	Bottom Type	Hazardous Materials Release	Aquatic Resources	
6.4 6.3	3.1 5.2	7.9 7.8	7.0 7.3	5.3 7.3	7.5 8.1	
Maybe	RISING	NO	RISING	RISING	RISING	
Small Craft Quality	Congestion	Obstructions	Configuration	Mobility	Economic	
6.9 6.8	2.1 4.7	3.5 5.1	7.7 6.8	3.2 4.9	5.9 6.3	
NO	RISING	RISING	Maybe	RISING	RISING	

KEY B		Book 3	Absolute level of risk	
Risk			Book 4	Level of risk taking into account existing mitigations
			Balanced	Consensus that risks are well balanced by
		Daraneca	existing mitigations	
Book 3	Book 3 Book 4		Maybe	No consensus that risks are adequately balanced by existing
DOOK 3 DOOK 4		Wayoc	mitigations	
Cons	ensus		NO	Consensus that existing mitigations do NOT adequately balance risk

Book 5 Tabular Results:

Vessel Conditions	Traffic Conditions	Navigational Conditions	Waterway Conditions	Immediate Consequences	Subsequent Consequences	
Deep Draft Vessel Quality	Volume of Commercial Traffic	Winds	Visibility Impediments	Personnel Injuries	Health and Safety	
Active Traffic Mgmt	Active Traffic Mgmt	Nav / Hydro Info	Balanced	Radio Communications	Other Actions	
1.8	4.1	5.9		6.4	5.9	
Shallow Draft Vessel Quality	Volume of Small Craft Traffic	Water Movement	Dimensions	Petroleum Discharge	Environmental	
Enforcement	Rules & Procedures	Rules & Procedures	Active Traffic Mgmt	Other Actions	Coordination / Planning	
2.7	3.9 Caution	6.4	2.8	3.7	6.9	
Commercial Fishing Vessel Quality	Traffic Mix	Visibility Restrictions	Bottom Type	Hazardous Materials Release	Aquatic Resources	
Balanced	Active Traffic Mgmt	Rules & Procedures	Nav / Hydro Info	Coordination / Planning	Coordination / Planning	
	4.7	7.2	6.7 Caution	7.2	8.0	
Small Craft Quality	Congestion	Obstructions	Configuration	Mobility	Economic	
Voluntary Training	Active Traffic Mgmt	Nav / Hydro Info	Balanced	Balanced Other Actions		
6.5	4.0	4.8		4.8		

KEY				
Risk Factor				
Interve	ntion			
Risk Improvement	Caution			

Intervention category that was judged most effective in further mitigating risk
Expected improvement in risk level if new mitigation measures were implemented

No consensus alert

Legend:

The intervention category listed is the one category that most participant teams selected for further reducing risks. The Risk Improvement is the perceived reduction in risk when taking the actions specified by the participants. A green Balanced indicates that no intervention is needed and risk is balanced in the waterway, and a yellow Caution indicates that there was a difference between the most effective category and the category most selected by the participants for action. Intervention category definitions are:

Coordination / Planning	Improve	long-range	an	d/or	conti	ingency	planning	and	better
	coordinate	e activities	/	impr	ove	dialogue	between	wa	terway
	stakehold	ers							

Voluntary Training Establish / use voluntary programs to educate mariners / boaters in topics related to waterway safety (Rules of the Road, ship/boat handling, etc.)

Rules & ProceduresEstablish / refine rules, regulations, policies, or procedures (nav rules, pilot rules, standard operating procedures, licensing, RNAs, require training and education, etc.)

Enforcement More actively enforce existing rules / policies (navigation rules, vessel inspection regulations, standards of care, etc.)

Nav / Hydro Info Improve navigation and hydrographic information (PORTS, BNTM, charts, coast pilots, AIS, tides and current tables, etc.)

Radio Communications Improve the ability to communicate bridge-to-bridge or ship-to-shore (radio reception coverage, signal strength, reduce interference & congestion, monitoring, etc.)

Active Traffic Mgmt Establish/improve a Vessel Traffic Service (info, advice and control) or Vessel Traffic Information Service (information and advice only)

Waterway Changes Widen / deepen / straighten the channel and/or improve the aids to navigation (buoys, ranges, lights, LORAN C, DGPS, etc.)

Other Actions Risk mitigation measures needed that do NOT fall under any of the above strategy categories